



**FUSION
FOR
ENERGY**

General Declaration of Interest (GDoI)¹

First name: Johannes

Last name: Schwemmer

Personnel No. 314799

Function / Unit : F4E Director

I hereby declare the following interests (if any):
see the categories of interests – in line with Article 8 Col Rules - from next page on. The following categories of interest shall be declared if they are or could be perceived as related to the domain or activity of Fusion for Energy.

Please see also the GDoI Assessment Guidelines and the Implementation Procedure

All pages have to be signed and dated. If the document is completed by hand, please ensure that the information required is presented clearly. If you need more sheets, please use additional blank ones, date and sign each of them and attach them to this Form.

¹ In accordance with the **Governing Board (GB) Decision of 11th June 2014 (F4E(14)-GB29-12.1)** adopting **Rules on the Prevention and Management of Conflict of Interest regarding staff members of the Joint Undertaking ("Col Rules")**, you are asked, in line with Articles 9 (1), to fill in this General Declaration of Interest (GDoI) Form.

A handwritten signature in blue ink, appearing to be 'JS' or similar initials.

I. Past and Present Professional Interests

Professional interests shall be declared covering the last five years, before joining the Joint Undertaking (JU), like any other current professional interest as described below:

Professional interests shall include contractual arrangements or other arrangements entitling the staff member to return to his previous posts or other posts held in organisations, institutions, companies, foundations or similar bodies (the nature of the post and the name of those bodies shall also be indicated) as well as other membership/affiliation or professional activities having been held or being still held, including services, liberal professions, consulting activities, with pecuniary benefits or other benefits, including notably:

- Permanent or temporary employment or work contracted out through consultancy;
- Participation in internal decision-making or advisory bodies (e.g. directorships, board membership, executive or non-executive directorship).

none

yes, in particular:

Title/Function (you, your spouse or partner)		Period of activity (last 5 years before joining the JU)	Company or organization	Description of the professional interest
Was Partner, changed to 'Managing Partner' (shareholder, no more consulting work) end of 2015		From 01/June/14 To 31/12/2015	Antevorte Performance Management GmbH & Co. KG	Antevorte is a partnership of managers specialized in performance and interim management and consulting. Partners have shareholding / freelance roles; they are not employees. The company has no employees, 1. I had been involved with the preparation of an M&A deal, my contribution ended in 2015. A remuneration was paid for this work in 2016. 2. I used Antevorte as a platform to acquire interim management engagements. There has been no more such activity since my employment contract with F4E started. 3. Became a shareholder ('Managing Partner', 'Kommanditist') and gave up the operative consulting and interim management end of 2015. 20% ownership, increased to 25% in April 2018 as one partner left the company for age reasons.
Member		Since 2012	PMI	Professional association of project managers, USA / international



II. Financial Interests

Any direct financial interests, including ownerships of patents/trademarks, or assets (shares or securities held in companies) or grants or other funding received over the last five years which might create a Conflict of Interest in the performance of duties, with an indication of their number and value, as well as the name of the company/provider of the grant or funding.

This shall notably include the holding of stocks and shares, equity, bonds, partnership interests in the capital of an organisation, its subsidiaries or affiliates (e.g. via a holding arrangement) related to the activities of the Joint Undertaking.

Financial interests need not be disclosed unless they have a market value of greater than 20,000 Euros². Share portfolios need not be disclosed if the management arrangement is in the form of an investment fund or equivalent.

none

yes, in particular:

Title/Function (you, your spouse or partner)	Period of activity (last 5 years)	Company or organization	Description of the financial interest
Venture Investment	From 31/12/2015	E2C3 Terraloupe Beteiligungs GmbH	Shareholding investment in a holding of a Start-up company selling software for online analysis of aerial photography (Terraloupe GmbH). The initial shareholding was increased proportionally in March 2017 with the next financing round of the start-up.
Venture Investment	Starting in summer 2016, increased in May 2018 to the share explained on the right	Ostertag Holding GmbH, buying companies for enterprise tele-communications services. Since 2017 Ostertag Holding has acquired Ostertag AG, the telephony service branch of Euromicron, and very recently DeTeWe Communications GmbH	Investment of 9.81 % in the fund 'ITK-UB GmbH' in the form of 'stiller Gesellschafter', resulting in a pro-rata 2.93% shareholding of Ostertag Holding.

² The value of the held shares is evaluated by using the share price at 31.12. before the year of this Declaration.



Shares	End of 2019 stock price	SAP AG	Common shares, well above the 20.000€ reporting threshold
Shares	End of 2019 s.p.	Siemens AG	Common shares, sometimes above the threshold of EUR 20.000
Shares	End of 2019 s.p.	Microsoft	Common shares, sometimes above the threshold of EUR 20.000

III. Intellectual Interests

Intellectual Interests shall include interests of the staff member during the preceding five years, arising from professional activities or affiliation with national or international organisations or bodies (paid or unpaid), which are related to the domain of activity of F4E and which have a direct impact on the staff member's independence and impartiality.

Intellectual interest is often a prerequisite for the scientific excellence and not necessarily considered an undesirable bias. However, it can also have an impact on professional attitudes.

"Intellectual Interests" include rights granted to creators and owners of work that are the result of human intellectual creativity, e.g. publications or in the industrial or scientific domain. They can be in the form of an invention, a manuscript, a suite of software, or a business name (copyrights etc.); unless already covered by point II. "Financial Interests".

none

yes, in particular:

Title/Function (you, your spouse or partner)	Period of activity (last 5 years)	Company or organization	Description of the intellectual interest
Partner / Owner	01/01/2014	NewStars Innovation	This is my brand for my own Venture Capital investments. I own the brand.



IV. Other relevant interest:

Any interest/information, relating to the past five years, and not falling under the categories provided above which could be relevant for the purposes of the present Rules.

none

yes, in particular:

Title/Function (you, your spouse or partner)	Period of activity (last five years)	Company or organization	Description of the interest
	From To		
	From To		



I hereby declare that I have read the *Rules on the Prevention and Management of Conflict of Interest regarding staff members of the Joint Undertaking*³ and that the above Declaration of Interest is at my best knowledge complete.

Fusion for Energy will ensure on that your **personal data** hereby submitted is processed as required by the Regulation (EU) 2018/1725 on *the protection of individuals with regard to the processing of personal data by the European Union institutions and bodies and on the free movement of such data*. Recipients of this information can process the data only for the purpose of which these were provided to them. The internal recipients at Fusion for Energy which are authorised to receive the data and the rights of the individual are mentioned in the respective Privacy Notice.

I understand that, pursuant to Article 7 (4) Col Rules the GDols are stored on a dedicated internal GDol Register in IDM. Only the GDols of the senior management will be published on the Joint Undertaking's public website, Article 22 (1) Col Rules⁴.

Date: 24th April 2020

Signature:



³ See Fn. 1

⁴ Any further request for making the GDol's public would be based on the applicable procedures regarding requests for public access to documents pursuant to Article 15 of the Treaty on the Functioning of the European Union and in particular the GB Decision of 17th July 2007 (F4E (07)GB-02-04.5) concerning "Transparency and Public Access to the Documents of the Joint Undertaking".